# Perspectives for Low-Resource Tasks of Connectionist Natural Language Processing Overview and Discussion

David Kaumanns Center for Information and Language Processing University of Munich

david@heidenblog.de

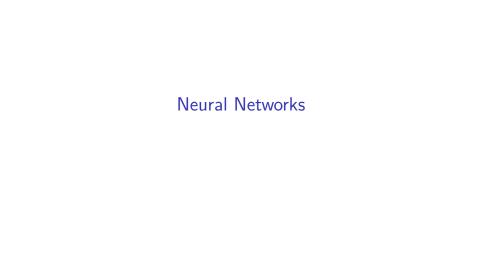
Machine Learning Workshop Trondheim - September 22-23, 2016

#### Content

- Connectionism and Neural Networks
- ▶ The Data Problem of DL-NLP
- ► The Language Problem of DL-NLP
- Perspectives for Low-Resource-NLP
  - Toy Tasks
  - Character-Based Neural Network Models
  - Deep Transfer Learning
    - ► Example: Word Embeddings

#### Connectionism

- Paradigm of Symbol Processors: Deterministic rules manipulate symbols encoding complex information.
- ► Connectionism: High-level functions are performed by a *large* network of simple computational units.
  - "What fires together, wires together." (Donald Hebb, 1940s)
- ► Artificial Neural Networks (1974)
  - ▶ ... fueled by **natural language sequences** (1990/91)
  - ... unveil patterns of morphosyntax and semantics
  - ... while degrading gracefully in face of noisy input.



## Basic Building Block: Nonlinear Transformation

$$h = f(W_{xh}x + b_h) \tag{1}$$

- f: smooth nonlinear function, e.g. logistic sigmoid or hyperbolic tangent (tanh)
- $\mathbf{x} \in \mathbb{R}^M$ : upstream layer as vector of size M
- ▶  $W_{xh} \in \mathbb{R}^{N \times M}$ : weight matrix of size  $N \times M$  for connection from upstream layer to hidden layer
- ▶  $b_h \in \mathbb{R}^N$  : bias of size N for affine transformation of hidden layer
- ▶  $h \in \mathbb{R}^N$ : hidden layer

# Deep Learning

Stacked hidden layers: "Deep Learning"

## Family of Deep Learning Architectures

- Standard Feedforward Networks ("Multi-Layer Perceptrons" (MLP))
- Convolutional Neural Networks (CNN)
- Recurrent Neural Networks (RNN)
- Recursive Neural Networks

#### Extensions and Modifications

- Long Short-Term Memory cells (LSTM)
- Memory
- Attention
- Reinforcement Learning ("delayed gratification training")

#### How Deep Neural Networks Learn

- Deep Neural Networks are basically
  - pattern recognizers
  - that learn sophisticated rules
  - in order to produce soft decisions.
- Supervised learning by design
  - One input, one target, one error, one update
  - ("instant gratification training")
- Task: "How do I analyse the input with respect to a target?"
  - Many-to-one classifiers and many-to-many transducers (e.g. for Machine Translation) just change the definition of input and target.

The Data Problem of DL-NLP

Recently at ACL conferences, there has been an over-focus on numbers, on beating the state of the art.
Call it playing the Kaggle game. More of the field's effort should go into problems, approaches, and architectures.

I would encourage everyone to think about problems, architectures, cognitive science, and the details of human language, how it is learned, processed, and how it changes, rather than just chasing state-of-the-art numbers on a benchmark task.

Christoph Manning, 2016

- ▶ 1990s: Shift from analytical research to empirical research
  - ► Big data + generic architectures + high-level performance evaluation
- ▶ Some *high-level tasks* have benefited a lot.
  - E.g. Speech Recognition and Machine Translation
- "Less routine" tasks not as much.
  - E.g. POS Tagging, Named-Entity Recognition, Document Classification, robust semantic/syntactic/morphological parsing

- ► How do we get the food for our hungry NNs?
- ► **Unsupervised learning** would help, but is unpractical for high-level NLP tasks.
  - ► Maybe we can leverage our tasks via the inherent structure of unannotated data?
    - Example: language model

## Semi-supervised Deep Learning

- No manual annotations.
  - ▶ Features are implicit and have to be learned by the system.
- ▶ DL-NLP models become fancy symbol correlation models, tuned to a specific task.
  - (Works great for English.)

#### Trend:

- Completely discard linguistics and annotated features.
  - Rely solely on correlations hidden in tons of data.
  - "End-to-End systems"
- ▶ Use generic NN architectures for everything.

Natural Language Processing (almost) from Scratch", Collobert & Weston, 2011

▶ Seems to work: Low-resource NN language models (5K tokens) still perform better than n-gram models.

I get pitched regularly by startups doing "generic machine"

learning" which is, in all honesty, a pretty ridiculous idea.

Machine learning is not undifferentiated heavy lifting [...]

Joseph Reisinger (http://thedatamines.com/post/ 13177389506/why-generic-machine-learning-fails)

and closer to design than coding.

Although current deep learning research tends to claim to encompass NLP, I'm (1) much less convinced about the strength of the results, compared to the results in, say, vision; (2) much less convinced in the case of NLP than,

say, vision, the way to go is to couple huge amounts of data with black-box learning architectures.

Michael Jordan (https://www.reddit.com/r/

Michael Jordan (https://www.reddit.com/r/ MachineLearning/comments/2fxi6v/ama\_michael\_i\_jordan) The Language Problem of DL-NLP

#### Basic Assumptions of End-to-End DL-NLP

- Language is a sequence of distinct symbols.
- ► Their order yields sufficient information for syntax.
- Correlations yield sufficient information for symbol meaning.
  - (Or at least make up for lack of features.)
- Sentence meaning is a nonlinear transformation of symbol meanings.

## Morphology Becomes A Challenge

- ► English is highly **analytic**: low morpheme-per-word ratio
- Russian is highly synthetic
- Turkish is highly agglutinating

## Syntax Becomes A Challenge

(e.g. for sentence branching)

- ► English is mostly **right-branching**: main subject is followed by modifiers and additional information
- Chinese is mostly left-branching.

(e.g. for Japanese)

"Mary was made by John to buy a book."

Mary-ga John-ni hon-o kaw-sase-rare-ta.

Mary-ga hon-o John-ni kaw-sase-rare-ta.

John-ni Mary-ga hon-o kaw-sase-rare-ta.

John-ni hon-o Mary-ga kaw-sase-rare-ta.

Hon-o Mary-ga John-ni kaw-sase-rare-ta.

Hon-o John-ni Mary-ga kaw-sase-rare-ta.

## Summary of Problems

- ▶ **Data Problem**: Neural Networks require huge resources (both data and power).
- ► Language Problem: Generic Deep Learning is not a natural fit for NLP.
  - ▶ NLP works off symbols for complex information.
  - ▶ The more features, the harder the acquisition of training data.



#### Challenges

- ► Large NNs require tons of training data, i.e. annotated samples.
- NLP tasks furthermore require rich features per symbol, not just correlations.

Character-Based Neural Network Models

#### Idea

- ▶ Instead of learning on word-level, we learn on character-level.
- Word representations are learned automatically on deeper layers.

The unreasonable effectiveness of recurrent neural networks, Karpathy et al., 2015

#### **Benefits**

- Significantly reduces the representation space for 1-of-k encodings.
  - ► E.g. instead of a word vocabulary of 100,000, we have a character vocabulary of 50.
  - (Though in practice, this does not give much benefit in speed, only model complexity.)
- Allows flexibility in terms of morphology.
  - Words are allowed to differ more and less.
- Good solution to the morphology problem, if combined with appropriate network architectures
  - ... and possibly something better than orthographic characters.

Character-Aware Neural Language Models, Kim et al., 2015

#### **Downsides**

- Benefits in terms of parameter count are a bit offset by more updates and deeper architectures.
  - Possibly longer trainings
  - ▶ Increased data requirements for acceptable convergence



#### Idea

Synthetic data sets of tightly controlled complexity help develop better techniques/designs in order to "escape the local minima in algorithm space".

Towards Al-Complete Question Answering: A Set of Prerequisite Toy Tasks, Weston et al., 2015

Used to concept-proof Memory Networks								
•	Obvious	problems:	limited	domain,	non-scalable,	unrealistic,		

cognitively implausible

## Alternatives to Toy Tasks

- Crowd-sourcing of annotated data
- Real-life documents -> templates -> multiplied against a fixed vocabulary -> more training data

... but they are just delaying the underlying problem: we are bound to hit a wall of feasibility if we depend on algorithms that need boatloads of data.

Transfer Learning/ Model Adaptation/ Multitask Learning

Idea

Knowledge learned about one data/task leverages/kickstarts knowledge acquisition about another data/task.

## Deep Transfer Learning

- ► The point of **Deep** Learning is to learn higher abstractions over the data.
- ▶ Idea: How about re-using the parameters of these deeper layers?

Transfer Learning for Speech and Language Processing, Wang & Zheng, 2015

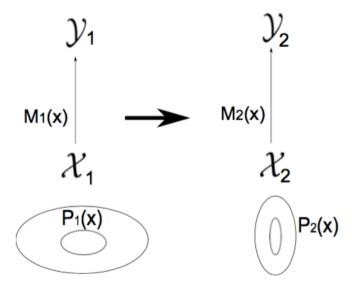


Figure 1: Relation of conditional factors in transfer learning paradigm

		$\mathcal{Y}+$	<i>y</i> -	
		M(x)+	M(x) -	
$\mathcal{X}+$	P(X)+	Conventional ML	Model transfer[10]	Multitask learning[11]
	P(X)-	Model Adaptation[12], [13], incremental learning[14]		
<i>x</i> –			Co-training[15]	
			Co-training [15] Heterogeneous transfer learning [16], [17]	Analogy learning [18]

Figure 2: Categories of Deep Transfer Learning

Transfer of learned parameters is possible between different:

- ► Tasks (Multitask Learning)
  - ► Languages (e.g. speaker adaptation & multilingual speech recognition)
- ► Neural models (even in different depths)
  - ModalitiesML Algorithms (2)
  - ML Algorithms (?)

**>** 

#### Idea

- ▶ Pre-train on large corpus, refine on small corpus.
  - ▶ Based on assumption of equivalence/similarity between both data representations.
  - ► Example: cross-lingual domain adaption for dependency parsing
    - ... aided by parallel data for constraint transfer (e.g. a bilingual dictionary)
    - Two NN parsers share parameters at higher levels of abstraction.

Combining labeled and unlabeled data with co-training, Blum et al., 1998

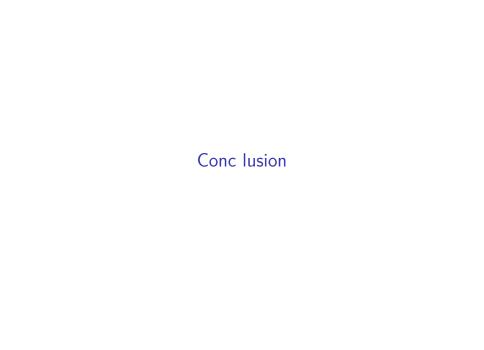
Cross-language parser adaptation between related languages, Zeman et al., 2008

Multi-Source Transfer of Delexicalized Dependency Parsers. McDonald et al., 2011

A Neural Network Model for Low-Resource Universal Dependency Parsing, Duong et al., 2015

## Example for Model Adaptation: Word Embeddings

- ► Sparse 1-of-k symbol representations are translated to dense low-dimensional vectors ("word embeddings")
  - ... by tuning a randomly initialized lookup table on a specific task.
- Basically best-practice today: initialize your symbol lookup table with language model word embeddings
  - ... efficiently trained on large corpora
  - ... and possibly updated during further training on the new task.



- Deep Learning is powerful, but Neural Networks need data.
- ► The Deep Learning community (even for NLP) has widely neglected:
  - ► Low-resource languages
  - Linguistics in general (e.g. morphology and grounding)
- Promising next steps:
  - Data generation techniques (e.g. from templates or generative DCGs)
  - ► Transfer Learning (including Word Embeddings)
    - Related languages kickstart each other's models.
    - Shared deep layers provide the bridge between (small) datasets.